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READINESS / 100

# Inspection Binder — Table of Exhibits

Prepared for: **Aventura Demo Medspa** (demonstration workspace) · Scenario: AHCA unannounced survey · Assembled on demand · Every exhibit is the source document itself, bound in order — not a list of “available on request.”

EXHIBIT	DOCUMENT	STATUTE / RULE IT ANSWERS	STATUS
A	AHCA Health Care Clinic license	§ 400.9905 · Rule 59A-33	Current
B	MD supervisory agreement + 25-mile attestation	§ 458.348(1)(f)	Signed · verified
C	OIG / LEIE screening certificates — all staff (monthly)	42 USC § 1320a-7	Run + filed
D	Florida licenses — every provider (MQA-verified daily)	§ 458.331 / § 464.018	Current
E	Good Faith Exam records — prior 6 months	Rule 64B8-9.009 · § 458.331(1)(t)	Complete
F	Signed standing orders + MD chart-review log	§ 458.348 supervision	Co-signed
G	Biomedical waste operating plan + pickup manifests	FAC 64E-16	On cadence
H	OSHA bloodborne-pathogens program + training records	29 CFR 1910.1030	Current
I	HIPAA: NPP, BAAs (every vendor), risk assessment	45 CFR 160/164 · FIPA § 501.171	Signed set
J	Malpractice declarations — MD named on coverage	§ 458.320	In force

**What you are looking at:** two pages excerpted from a full bound pack (typically 60–120 pages). In the real binder, each exhibit above is followed by the actual signed source documents, date-stamped and bookmarked, with a cover letter written for the specific inspecting agency.

## Exhibit C — Proof-of-Screening Certificate

One certificate is generated for *every* staff member, *every* month — so “show me you screened her” is answered with a bound, contemporaneous exhibit, not a database query.

### Federal Exclusion Screening — Certificate of Completion

INDIVIDUAL SCREENED	<b>R. Alvarez, RN</b> (demo staff record) · FL license RN 9481xxx
SCREENED AGAINST	HHS-OIG List of Excluded Individuals/Entities (LEIE) · GSA SAM.gov exclusions
DATASET VERSION	LEIE monthly release · May 2026 update
METHOD	Exact + fuzzy name match, DOB cross-check, NPI cross-reference
RESULT	<b>NO MATCH — not excluded</b>
FILED TO	Inspection binder · category oig_leie_screening_log · retained 5 years (64B8-10.002)
REVIEWED & SIGNED OFF	ProofOps verification desk · second-reviewer sign-off before filing

**Why inspectors care:** CMS guidance recommends monthly exclusion screening. Civil monetary penalties for items or services furnished by an excluded individual run up to \$10,000 per claim plus treble damages (42 USC § 1320a-7a) — strict liability, no knowledge requirement.

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